

# IMPACT OF TBI ON SLEEP AND ASSOCIATED NEUROIMAGING CHANGES - *A Systematic Review* -

Jacob S. Shaw<sup>1</sup>, Kaylee Woodard, MD<sup>2</sup>; Aaron I. Esagoff<sup>1</sup>, Sabrina Kentis<sup>3</sup>, Anne Reisch, MD<sup>4</sup>; Barry R. Bryant, MD<sup>1</sup>; Matthew E. Peters, MD<sup>1</sup>, **Michael J. C. Bray, MD, MSc<sup>1</sup>**

<sup>1</sup>Johns Hopkins University School of Medicine

<sup>2</sup>Case Western Reserve University School of Medicine

<sup>3</sup>Albert Einstein College of Medicine; <sup>4</sup>Weill Cornell Medical Center

## - Introduction -

- Traumatic brain injury (TBI) bears a number of serious neuropsychiatric sequelae (NPS), though sleep disturbances may represent the most common with prevalence of 30-70% (Viola-Saltzman *et al.* 2016)
- Many diverse types of sleep disturbance are observed after TBI including hypersomnolence, insomnia, sleep cycle disruption, night-time awakening, and others (Ouellet *et al.* 2015)
- Sleep disruption is of particular importance among post-TBI NPS as it may interact with, exacerbate, or precipitate other NPS such as impulse dyscontrol, low mood, anxiety, and others (Freeman *et al.* 2020)
- Sleep disruption after TBI has been associated with a number of specific neuroimaging abnormalities across diverse imaging modalities; **placing these results in context of one another is critical in order to characterize neurological underpinning of this impactful NPS**

### Specific Objective:

To summarize and juxtapose all extant literature describing post-TBI structural and functional brain changes associated with sleep disturbance using neuroimaging modalities, including qEEG, CT, and MRI (PSG was evaluated in a separate meta-analysis in progress)

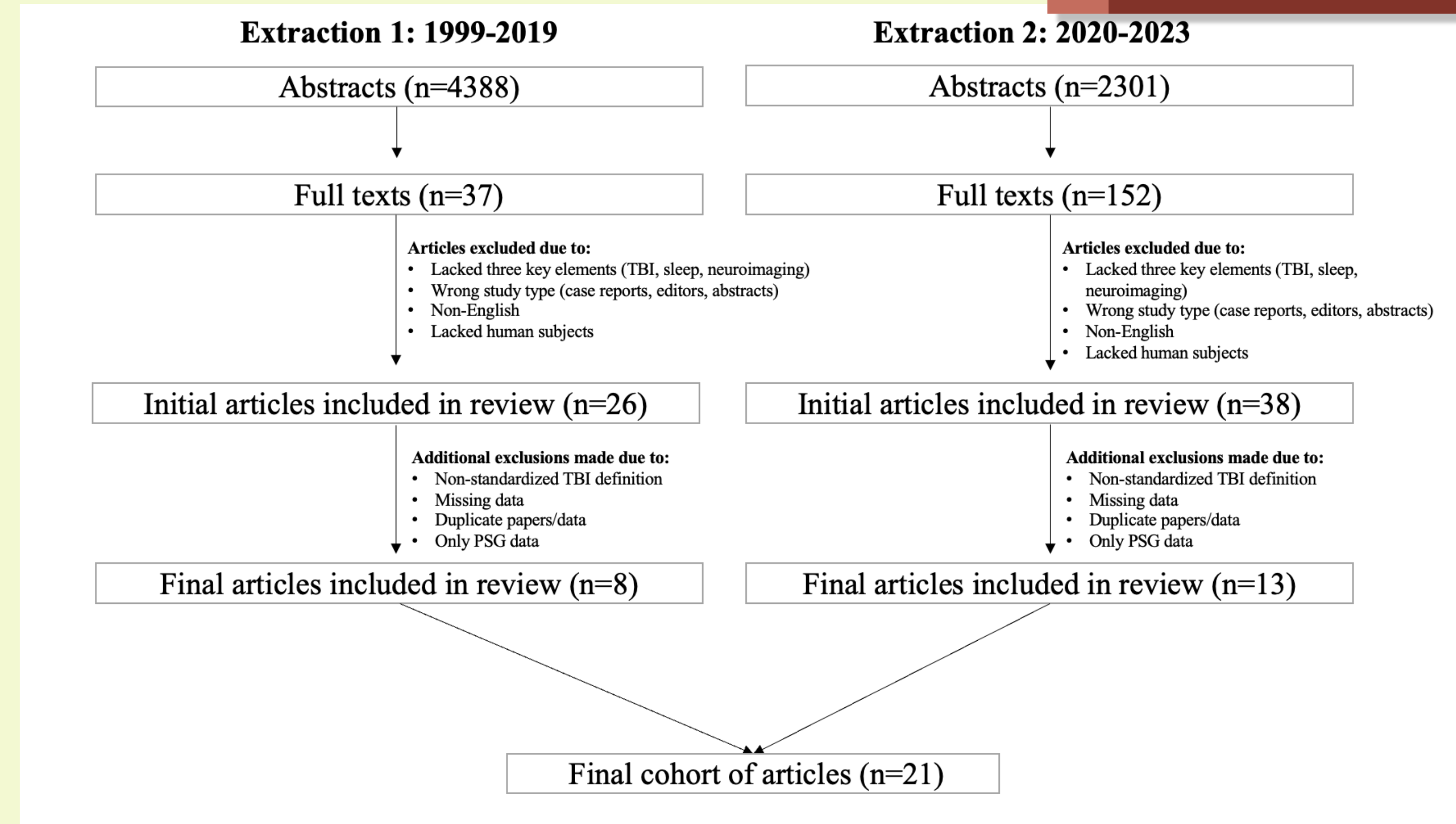
## - Methods -

- A systematic search of the literature was conducted in PubMed (MEDLINE), PsycINFO, EMBASE, and Scopus databases in accordance with PRISMA guidelines for systematic reviews and meta-analyses (Page *et al.* 2021)
- Inclusion criteria:**
  - Statistically analyzed the relationship between neuroimaging findings and sleep disturbance symptoms in individuals with TBI
  - Had a clear TBI definition for participants included in the study (formalized or study-specific criteria with any combination of Glasgow Coma Scale score, loss/alteration in consciousness, and/or post-traumatic amnesia)
  - Had a clear sleep disturbance construct (i.e., was not broadly “sleep disturbance”)
- Exclusion criteria:**
  - Undesirable study type (i.e., case reports/case series with n < 5, editorials, commentary letters, replies to editor, book reviews, non-peer-reviewed articles, conference proceedings, poster abstracts, dissertations)
  - English language version unavailable
  - No adult human subject data (<18 years)

### Quality Review

- Performed using the Newcastle-Ottawa scale for observational studies
- TBI severity was evaluated as either mild, or moderate/severe using post-traumatic amnesia (PTA) where available (mild with PTA < 1 hour) or Glasgow Coma Scale (GCS ≥ 12)

## - Results -



### Diffusion Tensor Imaging

Study	TBI Type	n (TBI)	n (Controls)	Key Findings
Jang <i>et al.</i> 2016	Chronic, mild TBI	53	33	TBI participants with greater daytime sleepiness (ESS >10) had significantly lower fractional anisotropy (FA) in the hypothalamus than individuals with TBI with less daytime sleepiness and controls
Raikes <i>et al.</i> 2018	Subacute, mild TBI	34	18	FA in projection and association tracts (including the internal capsule, superior and anterior corona radiata, anterior and posterior thalamic radiations, and superior fronto-occipital fasciculus) was negatively associated with sleep quality (on the PSQI)
Bottari <i>et al.</i> 2022	Military, mild TBI (unknown chronicity)	19 (12 with PTSD)	23 (8 with PTSD)	In combat-exposed veterans with Post-Traumatic Stress Disorder and/or mild TBI (or neither), decreased FA in the right uncinate fasciculus was associated with poorer sleep quality (on the PSQI)
Muller <i>et al.</i> 2021	Chronic, mild TBI	40	17	In TBI+ participants, FA in the left uncinate fasciculus was negatively associated with sleepiness (on the ESS) while FA in the right cingulum was positively associated with sleepiness
Rojczyk <i>et al.</i> 2023	Military, mild TBI (unknown chronicity)	119 (94 with PTSD)	61 (38 with PTSD)	Whole-brain free-water corrected FA was negatively associated with sleep quality (on the PSQI) in the group with TBI and comorbid PTSD only
Mohamed <i>et al.</i> 2023	Military, moderate-severe TBI (unknown chronicity)	56 (33 with PTSD)	97 (53 with PTSD)	Lower FA was observed in fatigued participants with TBI and PTSD compared to non-fatigued participants with TBI and PTSD across a distributed network of tracts (including the uncinate fasciculus and other structures). No differences were observed in diffusivity measures between fatigued and non-fatigued subgroups.
Sanchez <i>et al.</i> 2019	Chronic, moderate-severe TBI	23	27	In TBI+ participants, fatigue (on the Fatigue Severity Scale) was significantly associated with increased axial diffusivity, sleep quality (on the PSQI) did not correlate with DTI measures. DTI measures associated with white matter damage (FA, AD, and MD) were associated with greater slow-wave power during NREM sleep in the TBI group only

### Other Magnetic Resonance Imaging Findings

Study	TBI Type	n (TBI)	n (Controls)	Key Findings
Knutson <i>et al.</i> 2020	Military, chronic, mild TBI	28	0	Poor sleep quality (on the PSQI) was associated with weaker resting state functional connectivity between the left parahippocampal gyrus and the precuneus, cerebellum, caudate and frontal gyri. Decreased daytime sleepiness (on the ESS) was associated with pre- to post-intervention (blue light therapy) increases in gray matter volume and functional connectivity between attention and cognitive control networks and decreases in functional connectivity between visual, motor, and attention networks
Raikes <i>et al.</i> 2021	Chronic, mild TBI	62	0	In TBI+ participants, hippocampal and rostral anterior cingulate cerebral blood flow was negatively associated with symptoms of sleep disturbance and sleep-related impairment
Thomas <i>et al.</i> 2022	Chronic, mixed severity (mild to severe) TBI	16	16	Significant interaction observed between PSQI and number of TBIs, such that among participants with poor sleep, the effect of recurrent mild TBIs on perivascular space volume increase was greater (number of TBIs also independently associated with perivascular space number and volume)
Piantino <i>et al.</i> 2021	Military, chronic, mild TBI	56	0	

### Computed Tomography

Study	TBI Type	n (TBI)	n (Controls)	Key Findings
Fure <i>et al.</i> 2021	Subacute, mild-moderate TBI	116	0	Participants with intracranial abnormalities on CT/MRI reported decreased insomnia (on the ISI) compared to those without, although the difference lost statistical significance after controlling for prior TBI and education
Hou <i>et al.</i> 2013	Chronic, mixed severity (mild to severe) TBI	98	0	Neither presence nor location of intracerebral hemorrhage or contusion on CT was associated with the presence of sleep disorders (either insomnia or hypersomnia)
Imbach <i>et al.</i> 2015	Subacute-chronic, mixed severity (mild to severe) TBI	42	42	Individuals with intracranial hemorrhages on CT had higher total sleep duration compared to those without hemorrhages, irrespective of hemorrhage size and location
Karr <i>et al.</i> 2020	Acute, mild TBI	291	0	TBI+ participants with acute intracranial abnormalities on CT did not differ in self-reported insomnia (measured via the Checklist of Post-Concussion Symptoms) compared to TBI+ participants without intracranial abnormalities
Wickwire <i>et al.</i> 2022	Mixed severity (mild to severe) TBI (followed from 2 weeks to 1 year)	2022	0	In latent class mixed modeling of insomnia recovery course (measured by the ISI), participants with intracranial abnormality on CT had decreased odds of being placed in classes where participants had: 1) initially severe symptoms which persisted to 1 year or 2) initially severe symptoms which improved, as opposed to classes where initial insomnia symptoms were less severe

### Quantitative Electroencephalogram

Study	TBI Type	n (TBI)	n (Controls)	Key Findings
Arbour <i>et al.</i> 2015	Subacute, mild TBI	34	29	TBI+ participants had increased beta power in N1, N2, N3, localized to occipital derivation
Franke <i>et al.</i> 2022	Chronic, mild-moderate, military TBI	28	0	Improvement in sleep quality (on the PSQI) with right prefrontal transcranial magnetic stimulation was not associated with delta power changes in TBI+ participants
Khoury <i>et al.</i> 2013	Subacute, mild TBI	24	18	TBI+ participants had increased beta and gamma power during N2 sleep and decreased delta power during rapid eye movement (REM) sleep
Modarres <i>et al.</i> 2016	Chronic, mild TBI	8	8	TBI+ participants had significantly higher theta:beta amplitude ratios, a greater number of EEG slow waves, and less EEG global coherence while awake
Rao <i>et al.</i> 2011	Acute, mild TBI	7	7	TBI+ participants had lower delta power and higher alpha power in N1 and higher beta power in N1 and N2
Sanchez <i>et al.</i> 2019	Chronic, moderate-severe TBI	23	27	No difference between TBI+ participants and controls in slow wave power across sleep stages, though slow wave power was associated with worse white matter damage (by FA, AD, and MD in various regions) in TBI+ group only

## - Discussion -

- Post-TBI sleep disruption is associated with a diverse array of neuroimaging abnormalities detectable on structural and functional imaging
- Dysfunction of critical networks such as those involved in autonomic regulation and the reticular activating system is associated with poorer sleep quality and daytime sleepiness
- Sleep disturbance after TBI is not well predicted by presence of intracranial abnormality on CT; some studies found better initial symptoms in TBI patients with CT abnormalities
- qEEG demonstrates increased beta power in NREM and decreased delta power in REM and NREM sleep, with observable differences during wakefulness as well

## References

Arbour C, Khoury S, Lavigne GJ, Gagnon K, Gosselin N. 2015. Are NREM sleep characteristics associated to subjective sleep complaints after mild traumatic brain injury? *Sleep Medicine*, 16(4):534-539. Bottari SA, Lamb DG, Murray AJ, Porges EC, ... Williamson JB. 2021. Hyperarousal symptoms and decreased right hemispheric frontolimbic white matter integrity predict poorer sleep quality in combat-exposed veterans. *Brain Injury*, 35(8):922-933. Franke LM, Gitchev G, Perera RA, Hadimani RL, Holloway KL, Walker WC. 2022. Randomized trial of rTMS in traumatic brain injury: Improved subjective neurobehavioral symptoms and increases in EEG delta activity. *Brain Injury*, 36(5):683-692. Freeman D, Sheaves B, Waite F, Harvey AG, Harrison PJ. 2020. Sleep disturbance and psychiatric disorders. *Lancet Psychiatry*, 7(7):628-637. Fure SC, Howe EJ, Spielkavik B, Rie C, ... Lovstad M. 2021. Post-concussion symptoms three months after mild-to-moderate TBI: Characteristics of sick-listed patients referred to specialized treatment and consequences of intracranial injury. *Brain Injury*, 35(9):1054-1064. Hou L, Han X, Sheng F, Tong W, ... Dong Y. 2021. Risk factors associated with sleep disturbance following traumatic brain injury: Clinical findings and questionnaire based study. *PLoS ONE*, 16(10). Imbach LK, Vainio PO, Li T, Maric A, ... Baumann CR. 2015. Increased sleep need and daytime sleepiness 6 months after traumatic brain injury: A prospective controlled clinical trial. *Brain*, 138(3):726. Jang SH, Yi JH, Kim SH, Kwon HG. 2016. Relation between injury of the hypothalamus and subjective excessive daytime sleepiness in patients with mild traumatic brain injury. *J Neural Neurosurg Psychiatry*, 87(11). Karr JE, Iverson GL, Berghem K, Kotilainen A, Terry DP, Luoto TM. 2019. Complicated mild traumatic brain injury in older adults: Post-concussion symptoms and functional outcome at one week post injury. *Brain Injury*, 34(1):26-33. Khoury S, Chouchou F, Amzot F, Giguere J, ... Lavigne G. 2013. Rapid EEG activity during sleep dominates in mild traumatic brain injury patients with acute pain. *Neurotrauma*, 30(8):633-641. Knutson KM, Gotts S, Wassermann EM, Lewis D. 2021. Testosterone and resting state connectivity of the parahippocampal gyrus in men with history of deployment-related mild traumatic brain injury. *Military Medicine*, 185(5):e1950. Modarres M, Kuzma NN, Kretzmer T, Pack AJ, Lim MM. 2016. EEG slow waves in traumatic brain injury: Convergent findings in mouse and man. *Neurobiol Sleep Circadian Rhythms*, 3. Mohamed AZ, Lagopoulos J, Nasrallah FA, Shan Z. 2023. Self-reported fatigue was associated with increased white-matter alterations in long-term traumatic brain injury and posttraumatic stress disorder patients. *Neuroscience*, 520(46). Muller J, Middleton D, Alizadeh M, Zabrecky G, ... Mohamed FB. 2021. Hybrid diffusion imaging reveals altered white matter tract integrity and associations with symptoms and cognitive dysfunction in chronic traumatic brain injury. *Neuroimage: Clinical*, 30:102681. Ouellet M, Besuelle-Bonneau S, Morin C. 2015. Sleep-wake disturbances after traumatic brain injury. *Lancet Neurology*, 14(7):745-757. Page MJ, McKenzie JE, Bossuyt PM, Boutron I, ... Moher D. 2021. The PRISMA 2020 statement: an updated guideline for reporting systematic reviews. *BMJ*, 372(n71). Piantino J, Schwartz DL, Luther M, Newgard C, ... Peskind E. 2021. Link between mild traumatic brain injury, poor sleep, and magnetic resonance imaging-visible perivascular spaces in veterans. *J Neurotrauma*, 38(17):2391-2399. Raikes AC, Bajaj S, Dalley NS, Smith RS, ... Kilgore WD. 2018. Diffusion tensor imaging (DTI) correlates of self-reported sleep quality and depression following mild traumatic brain injury. *Front Neural*, 9. Raikes AC, Dalley NS, Forbeck B, Alkozei A, Kilgore WDS. 2021. Daily morning blue light therapy for post-TBI sleep disruption: Effects on brain structure and function. *Front Neural*, 12. Rao VA, Bergoy GAH, Hill, David Eron U, McCann. 2011. Sleep disturbance after mild traumatic brain injury: Indicator of injury? *The Journal of neuropsychiatry and clinical neurosciences*, 23(2):201-205. Rojczyk P, Sette-Holland J, Kaufmann E, Sydner WJ, ... Koerte IK. 2023. Sleep quality disturbances are associated with white matter alterations in veterans with post-traumatic stress disorder and mild traumatic brain injury. *FAA*, 2(15):2023. Sanchez E, El-Khatib H, Arbour C, Beckett C, ... Gosselin N. 2019. Brain white matter damage and its association with neuronal synchrony during sleep. *Brain*, 142(3):674-687. Thomas BP, Turumi T, Wang C, Zhu DC, ... Ding K. 2022. Hippocampal and rostral anterior cingulate blood flow is associated with affective symptoms in chronic traumatic brain injury. *Brain Research*, 1771(147631). Wickwire EM, Albrecht JS, Capaldi VF, Jain SD, ... Krystal AD. 2022. Trajectories of insomnia in adults after traumatic brain injury. *JAMA Netw Open*, 5(1):e2145310.